

Space LOGO CAB

Audit Attestation for name of the audited company

Reference: No. unique identifier

“Place, Issuing date”

To whom it may concern,

This is to confirm that “CAB name” has audited the CAs of the “name of the audited company”
without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number “n^o” and consists of << 6 >> pages.

Kindly find here below the details accordingly.

In case of any question, please contact:

CAB_company_name
street
city, country
E-Mail: email@address
Phone: phonenumber

With best regards,

Signature_1
Title

Signature_2
title

CAB Footpage

Kommentiert [A1]: Only the following date formats are accepted. This applies to all occurrences of dates throughout the attestation.

- Month DD, YYYY example: May 7, 2016
 - DD Month YYYY example: 7 May 2016
 - YYYY-MM-DD example: 2016-05-07
- No extra text within the date, such as “7th” or “the”.
If used, month names must be in English

Kommentiert [A2]: In case the attestation is issued with critical findings, delete “without critical findings”.

Audit Attestation <<Name>> <<Number>>

Identification of the conformity assessment body (CAB):	CAB_company_name ¹ , street, city, country registered under company_registration Accredited by national_accreditation_body under registration accreditation_registration ² for the certification of trust services according to "EN ISO/IEC 17065:2012" and "ETSI EN 319 403 V2.2.2 (2015-08)".
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Kommentiert [A3]: Adapt to your actual accreditation

Identification of the trust service provider (TSP):	company name, street, city, country, registered under company_registration
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Identification of the audited Root-CA:	name of the root, e.g. common Name	
	Distinguished Name	
	SHA-256 fingerprint	
	Applied policy	ETSI EN 319 411-1 V1.2.2, \$policy ETSI EN 319 411-2 V2.2.2, \$policy

Kommentiert [A4]: - MUST: No colons, no spaces, and no linefeeds
- MUST: Uppercase letters
- SHOULD: be encoded in the document (PDF) as "selectable" text, not an image
Must be the hash value of DER representation

¹ in the following termed shortly "CAB"

² URL to the accreditation certificate hosted by the national accreditation body

Audit Attestation <<Name>> <<Number>>

The audit was performed as full **period of time audit** at the TSP's location in city, country. It took place from yyyy-mm-dd until yyyy-mm-dd and covered the period from yyyy-mm-dd until yyyy-mm-dd. The audit was performed according to the European Standards "ETSI EN 319 411-2, V2.2.2 (2018-04)", "ETSI EN 319 411-1, V1.2.2 (2018-04)" and "ETSI EN 319 401, V2.2.1 (2018-04)" as well as CA Browser Forum Requirements "EV SSL Certificate Guidelines, version 1.7.1" and "Baseline Requirements, version 1.6.7" considering the requirements of the "ETSI EN 319 403, V2.2.2 (2015-08)" for the Trust Service Provider Conformity Assessment.

Kommentiert [A5]:

Must be either
period of time audit
or
point in time audit

Kommentiert [A6]: For date formats see comment above!

The audit was based on the following policy and practice statement documents of the TSP:

1. document_1, version x.y, as of yyyy-mm-dd
2. document_2, version x.y, as of yyyy-mm-dd
3. ...

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in table 1 and that have been covered in this audit.

No major or minor non-conformities have been identified during the audit.

In the following areas, non-conformities have been identified throughout the audit:

Kommentiert [A7]: Choose the correct section and list the non-conformities.

All identified non-conformities have to be listed, not only the open one's!

Findings with regard to ETSI EN 319 401:

7.2 Human resources

Documentation and implementation of ...xyz... shall be improved. [REQ-7.2-xx, REQ-7.2-yy]

7.4 Access control

Documentation and implementation of ...xyz... shall be improved. [REQ-7.4-xy]

7.8 Network security

Documentation and implementation of ...xyz... shall be improved. [REQ-7.8-xy]

Findings with regard to ETSI EN 319 411-1:

None.

Findings with regard to ETSI EN 319 411-2:

None.

All non-conformities have been closed before the issuance of this attestation.

All major non-conformities have been closed before the issuance of this attestation. For all minor non-conformities, remediation has been scheduled within three months after the onsite audit at latest and will be covered by a corresponding audit.

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For all non-conformities, remediation has been scheduled within three months after the onsite audit at latest and will be covered by a corresponding audit.

Kommentiert [A8]: Choose the correct section.

This Audit Attestation also covers the following incidents as documented under

- Bug xyz, TSP Name: Incident description:
https://bugzilla.mozilla.org/show_bug.cgi?id=...
- ...
- Bug xyz, TSP Name: Incident description:
https://bugzilla.mozilla.org/show_bug.cgi?id=...
- ...

Kommentiert [A9]: Should be identical to the Bugzilla entries

The remediation measures taken by [TSP Name] as described on Bugzilla (see link above) have been checked by the auditors and properly addressed the incident. The long-term effectiveness of the measures will be rechecked at the next regular audit.

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Distinguished Name	SHA-256 fingerprint	Applied policy	EKU
Complete subject DN	SHA-256 of the certificate	ETSI EN policy that this CA has been assessed with	EKU of the CA or: not defined
CN = ABC Issuing CA, O = ABC Co., C = DE	ZZYYXX	ETSI EN 319 411-2 V2.2.2, QCP-w	1.3.6.1.5.5.7.3.2 (id-kp-clientAuth)

Table 1: Sub-CA's issued by the Root-CA or its Sub-CA's

Kommentiert [A10]: Requirements for SHA-256 fingerprint:
- MUST: No colons, no spaces, and no linefeeds
- MUST: Uppercase letters
- SHOULD: be encoded in the document (PDF) as "selectable" text, not an image

Audit Attestation <<Name>> <<Number>>

Modifications record

Version	Issuing Date	Changes
Version 1	Yyy-mm-dd	Initial attestation

End of the audit attestation letter.